

EXPERT INSIGHT

Overcoming Challenges for Engineered Autologous T Cell Therapies

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Axicabtagene ciloleucel is an engineered autologous anti-CD19 chimeric antigen receptor T cell therapy being developed for patients with refractory aggressive B-cell lymphoma. The product is manufactured in a central facility from cellular starting material containing a patient's own T cells into which a chimeric antigen receptor transgene is directly introduced. This cellular starting material is highly variable from donor to donor and provides the single largest source of variability associated with the production process. Nonetheless, a robust manufacturing and distribution process was developed based on process understanding and appropriate process controls. Process characterization revealed process parameters that affect quality attributes, allowing appropriate control measures to be implemented. Process comparability criteria were also established as another mechanism to ensure process consistency as new manufacturing sites were introduced. A relationship between cellular characteristics of the incoming cellular starting material, cell growth and performance during manufacturing, and the ultimate product characteristics after administration to patients is also beginning to come into focus.

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The adoptive transfer of T cells genetically engineered to express a chimeric antigen receptor (CAR) has achieved significant progress in treating malignant diseases. CARs are synthetic immunoreceptors

whose extracellular domain is typically an antibody-derived single-chain variable fragment (scFv) that recognizes a tumor cell surface protein. The scFv is linked to intracellular signaling components that

play a critical role in T cell activation, proliferation, persistence, and cytotoxicity. The first CAR T cell trials in cancer patients addressed advanced epithelial ovarian carcinoma and metastatic renal cell

carcinoma and targeted the folate receptor and carbonic anhydrase IX (CAIX), respectively [1,2]. These studies were followed by studies in patients with neuroblastoma and follicular lymphoma [3,4]. Recent clinical success, however, has been achieved with CD19 specific CAR T cells targeting B cell malignancies [5-10].

Axicabtagene ciloleucel (axi-cel) is an autologous anti-CD19 CAR T cell product, originating from work conducted at the Surgery Branch of the National Cancer Institute (NCI; Bethesda, MD). The CD19-specific CAR of axi-cel comprises an extracellular scFv specific for CD19 and the signaling domains of CD3 ζ and CD28 and was first described by Kochenderfer *et al.* in 2009 [11]. The initial studies demonstrated that primary human T cells expressing this CAR could produce cytokines specifically in response to CD19⁺ target cells and efficiently kill primary chronic lymphocytic leukemia cells *in vitro*. Subsequent studies showed the potent antilymphoma activity of anti-CD19 CAR T cells together with the expected on-target/off-tumor effect of normal B-cell aplasia. These preclinical studies laid the groundwork for the first clinical report to describe successful anti-CD19 CAR T cell therapy [12].

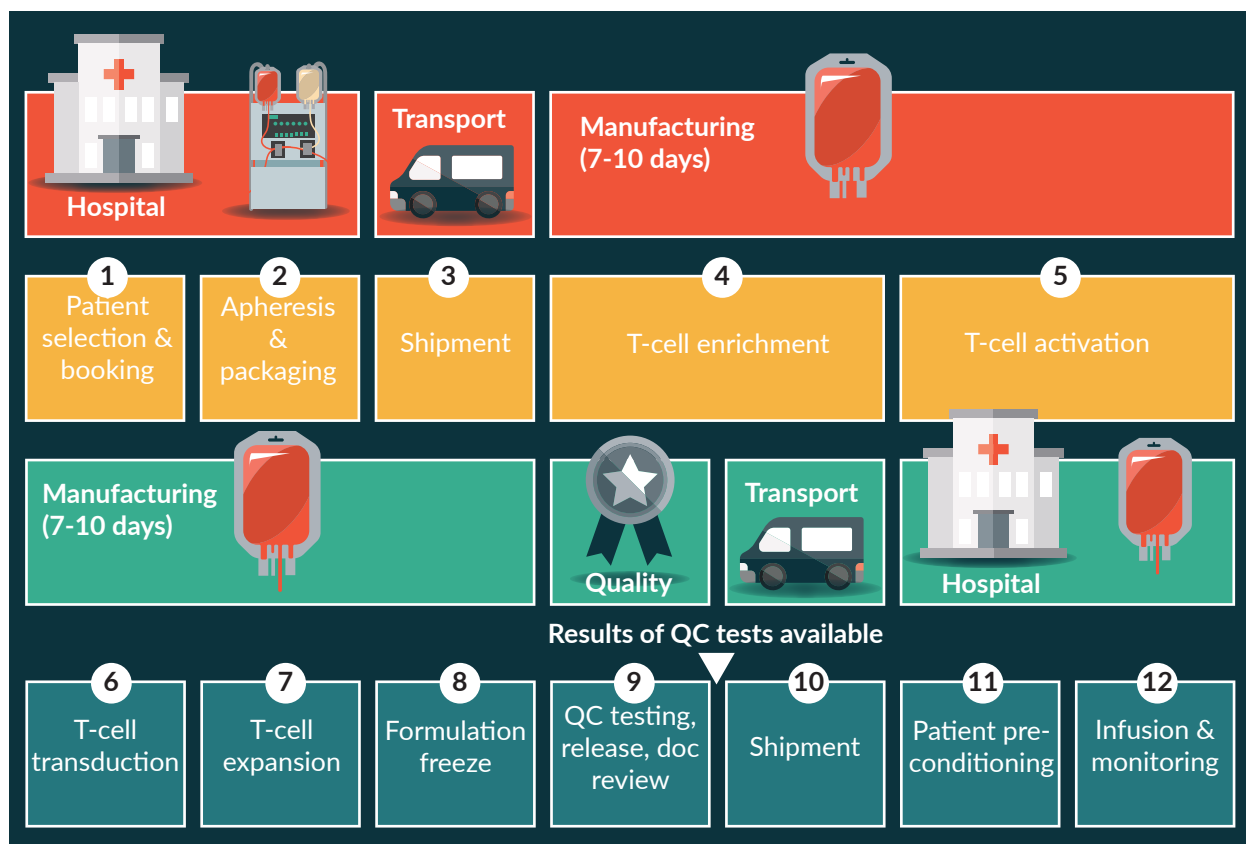
The cell production method used to support initial trials at the NCI relied on numerous manual, open-process steps, human serum to support T cell growth, and extended cell culture to achieve a clinical dose. In those studies, freshly prepared cells were administered to patients at the same institution where the cellular starting material was collected and final product cells were prepared. This approach

limited the ability to support large multicenter clinical trials, as well as scale-out for commercial cell production. Success closing some process steps such as T cell transduction with viral vectors in bags had been reported [13,14], but it was unclear if the process could be shortened and whether human serum could be eliminated from the T cell culture medium. Therefore studies were completed to simplify, streamline, and optimize the production process by removing human serum from the process to minimize the risk of viral contamination, moving process steps from an open system to functionally closed-system operations to minimize the risk of microbial contamination and standardizing additional process steps to improve process consistency [15,16]. Those studies led to establishment of a simple, robust process that was suitable to support multicenter clinical trials and meet the demands for commercial manufacturing with an overall turnaround time of approximately 17 days. A schematic overview of the approach for production and distribution of axi-cel is highlighted in Figure 1.

In the present study, robust manufacturing is demonstrated based on process understanding and appropriate process controls. Process characterization revealed process parameters that affect quality attributes, allowing introduction of appropriate control measures. Process comparability criteria were established based on process knowledge to ensure process consistency as changes were introduced that could unexpectedly alter product-quality attributes if not properly controlled. In addition, relationships between cellular characteristics of the starting material, cell growth

FIGURE 1

Production and distribution process for axi-cel.



Shown is an overview of the axi-cel production and distribution process. Twelve individual steps are illustrated that show the cells' journey from the patient, through the manufacturing process, and back to the patient for administration. The total time from 'Apheresis & packaging' (Step 2) to completion of 'Shipment' (Step 10) is ~17 days. Axi-cel, axicabtagene ciloleucel.

and performance during manufacturing, and the final product characteristics after administration have been explored.

PRODUCTION OF AXI-CEL

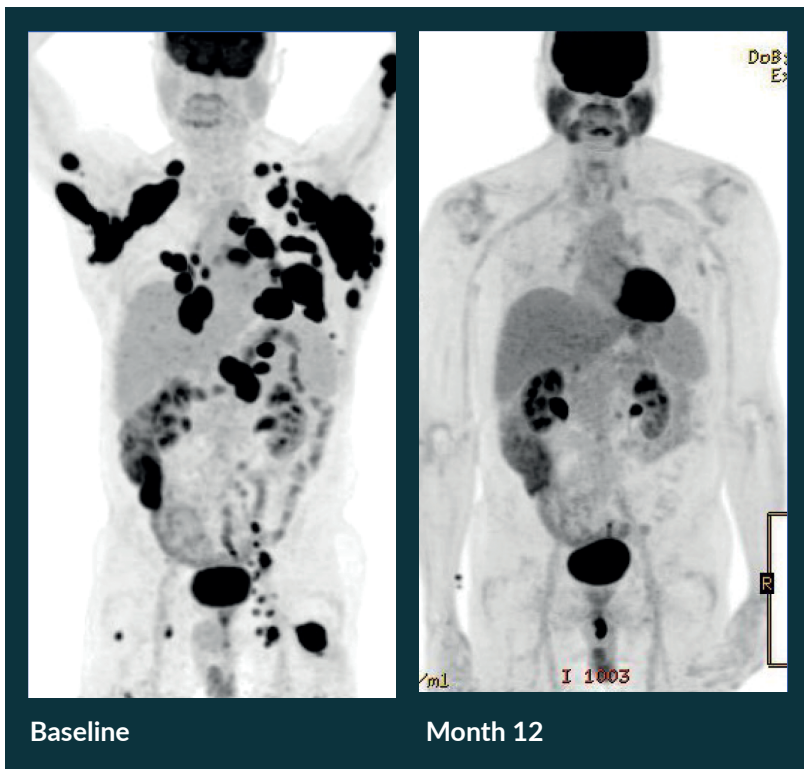
For patients with diffuse large B-cell lymphoma, treatment with a single infusion of axi-cel is capable of inducing a complete remission in many cases. The response observed in one such patient; in this case, a 62-year-old who had failed prior therapy with R-CHOP (rituximab plus cyclophosphamide, doxorubicin, vincristine, and prednisone), R-GDP (rituximab plus

gemcitabine, dexamethasone, and cisplatin), R-ICE (rituximab plus ifosfamide, carboplatin, and etoposide), and R-lenalidomide, but experienced a complete response after a single infusion is illustrated in **Figure 2**. Phase 1 results were recently reported [17], and in the primary analysis of a phase 2 trial of axi-cel, 44% of patients experienced an ongoing response at a median follow-up of 8.7 months [18].

The road to commercial development of a product such as axi-cel is complex. In addition to the clinical development path, a robust and reliable commercial manufacturing process must be secured, and a commercial-ready manufacturing

► **FIGURE 2**

Response to axi-cel in a clinical trial patient.



Positron emission tomographic scans of a 62-year-old patient treated in the ZUMA-1 trial, who failed all prior lines, shown at baseline before treatment with axi-cel and at 12 months. This patient achieved a complete response with a single infusion. Axi-cel, axicabtagene ciloleucel. Reprinted with permission from Locke *et al* [18].

2. Genetically engineering T cells to express cancer-specific receptors
3. Increasing the number of engineered T cells
4. Infusing the functional cancer-specific T cells back into the patient [Figure 1].

Axi-cel is manufactured from an individual patient’s blood cells obtained using a standard leukapheresis procedure. This apheresis material is placed into a validated shipping container at the collection site for transport at 1–10°C to the central cell-processing facility. After receipt, inspection, and release of the apheresis material for manufacturing, all further process steps are conducted in an International Organization for Standardization (ISO) 7 cell culture suite containing an ISO 5 biological safety cabinet and other equipment. The T cell-containing peripheral blood mononuclear cell fraction is enriched using Ficoll-based separation on the Sepax² instrument (Biosafe America, Houston TX) using a standard aseptic tubing kit. If required, to accommodate the cell concentration and collected volume from an individual donor, a volume reduction step is included before density-gradient separation. T cells in the peripheral blood mononuclear cell fraction are cultured in serum-free media and activated with anti-CD3 antibody and recombinant human interleukin-2.

After T cell activation, the anti-CD19 CAR gene is introduced into cells by retroviral vector transduction. Activated T cells are transferred to a cell culture bag that has previously been coated with RetroNectin[®] (Takara Bio USA, Inc, Mountain View, CA), and are subsequently incubated with retroviral vector. After transduction, T cells

facility must be built and validated. Toward this latter goal, Kite commissioned a facility near the Los Angeles International Airport with a modular design to allow for scalable, efficient manufacturing that can be quickly expanded to meet demand. Close proximity to the airport helps ensure that the unique logistical requirements for product distribution can be met. The challenges associated with manufacturing and distribution of CAR T cell products were recently well described [19].

Production of axi-cel is designed to harness the power of a patients’ own T cells. The manufacturing process involves

1. Harvesting T cells from the patient’s blood

are expanded to achieve a patient dose and then washed and cryopreserved to generate the final product. A predefined CD4⁺/CD8⁺ ratio is not required for the final product [20]. After passing release tests for microbiological safety, potency, viability, purity, appearance, and identity, the cryopreserved product is shipped back to the site in a liquid-nitrogen dry shipper, and the patient receives his/her engineered T cell product after nonmyeloablative chemotherapy conditioning.

T cell activation, transduction, growth, and final formulation are all critical to an efficient manufacturing process. Because each autologous product lot is unique to a cancer patient, a robust and well-controlled process that has a very high likelihood of successful completion is essential. Axi-cel manufacturing is a continuous process with no complex downstream purification steps.

To ensure that a lot can be manufactured for essentially all patients, it is extremely important to understand the sources of process variability. The commercial axi-cel process was designed based on knowledge gained through initial process development both at the NCI and Kite [15,16], through scale-up/scale-out activities during development and through clinical experience with the ZUMA-1 trial [17,18]. In addition, process characterization was completed to understand the impact of process parameters on quality attributes (see below). After this process characterization campaign, a control strategy was developed for which appropriate operational and in-process controls were implemented. Some of the known sources of process variability included raw materials and reagents, operator

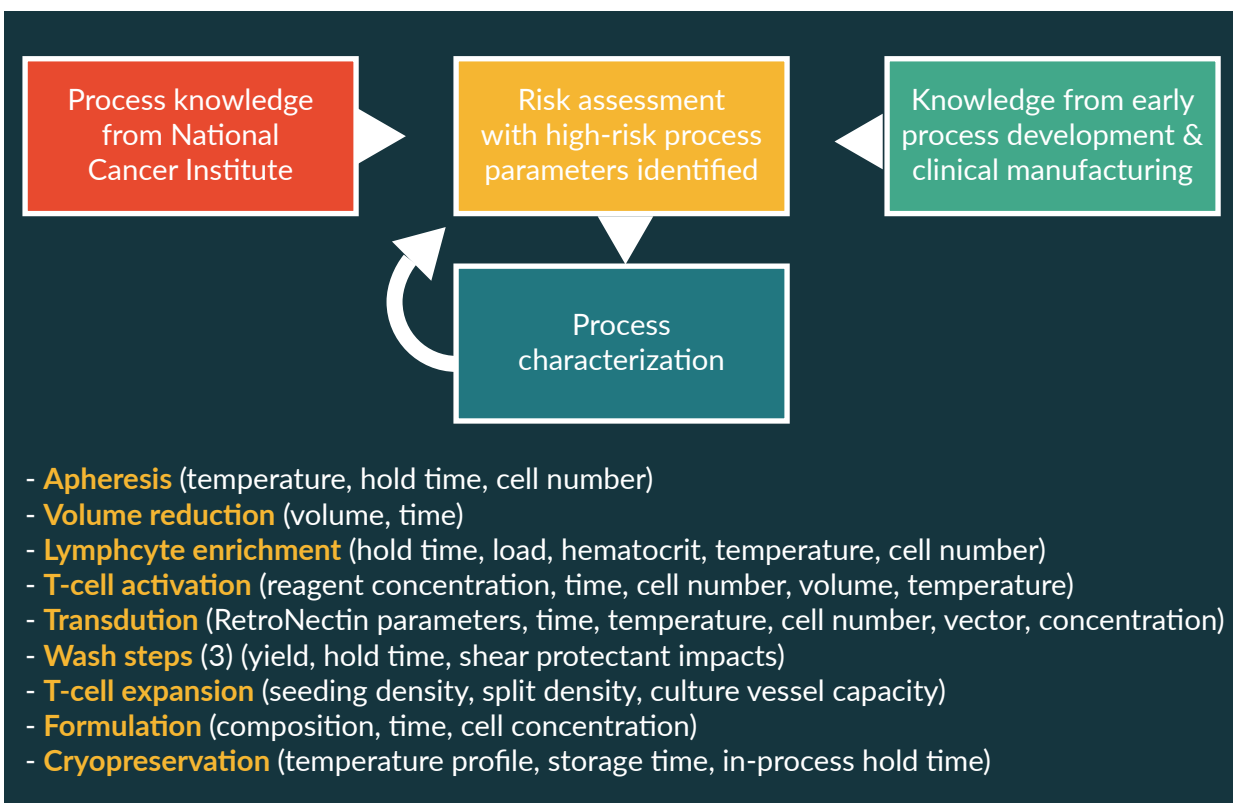
activities including manual process operations, single-use components, equipment performance, and the analytical methods used to measure and test in-process and final product samples. Variation around each of these sources can be controlled to a large degree, but the largest source of variability has proven to be the donor-to-donor variability of the patient's starting apheresis material.

LIFE CYCLE APPROACH TO AXI-CEL PROCESS VALIDATION

Kite has used a 3-stage life cycle approach to axi-cel process validation based on the concepts outlined in the 2011 guidance documents provided by the US Food and Drug Administration [21] and also aligned with similar European Medicines Agency guidance [22]. Process validation is defined as the collection and evaluation of data, from the process-design stage through commercial production, which establishes scientific evidence that a process is capable of consistently delivering quality product. Process validation involves a series of activities occurring during the life cycle of the product and process. Activities occurred in 3 stages: process design, process performance qualification, and continued process verification. A risk-based approach to process characterization by unit operation was used [Figure 3]. More than 150 at-scale development lots were completed as part of process characterization. Apheresis material from healthy donors was used for process characterization and subsequent process performance qualification based on an assessment that critical quality attributes (CQA)

► **FIGURE 3**

Risk-based process design.



Sources of process knowledge were used to design a risk-based strategy for process characterization. For axi-cel, process knowledge from initial development at the NCI and process development knowledge from Kite were used to perform a risk assessment for each process parameter identified throughout the manufacturing process. Shown are process parameters considered for each of the process unit operations: apheresis; volume reduction, lymphocyte enrichment, T cell activation, transduction, wash steps, T cell expansion, formulation, and cryopreservation. The impact of process parameter variation on CQAs was established. Learnings from process characterization were used to refine the risk assessment and establish a process control strategy. Axi-cel, axicabtagene ciloleucel; CQA, critical quality attribute; NCI, National Cancer Institute.

were similar in axi-cel lots produced from both donors and clinical patients. This is illustrated as percent transduction in **Figure 4**.

As part of process characterization, process parameters were evaluated for each unit operation as outlined in **Figure 3** to identify which could be classified as critical and noncritical. Most process parameters did not affect the overall process across the tested ranges. However, a few performance parameters were very sensitive to the operating conditions, and small perturbations had substantive effect on CQAs. In those cases, the overall process-control strategy needs to ensure that

CQAs stay within well-established acceptable ranges. Operating ranges for conditions such as temperature and time are straight-forward to assess across reasonable ranges. Other attributes can require more complex analysis to evaluate. An example of a noncritical process parameter is shown in **Figure 5**. In this case, the multiplicity of infection (MOI) for the retroviral vector used to introduce the CAR gene into T cells is evaluated. The data presented show that MOI did not affect 3 CQAs (percent transduction, vector copy number, and potency [interferon- γ production after co-cultivation with antigen-positive target cells]) above

a threshold of about 1.4 to the maximum tested MOI of about 10. Knowing that the MOI is a non-CQA across a wide range provides assurance that small perturbations in the actual MOI because of lot-to-lot vector titer variation or other conditions is highly unlikely to affect the overall process.

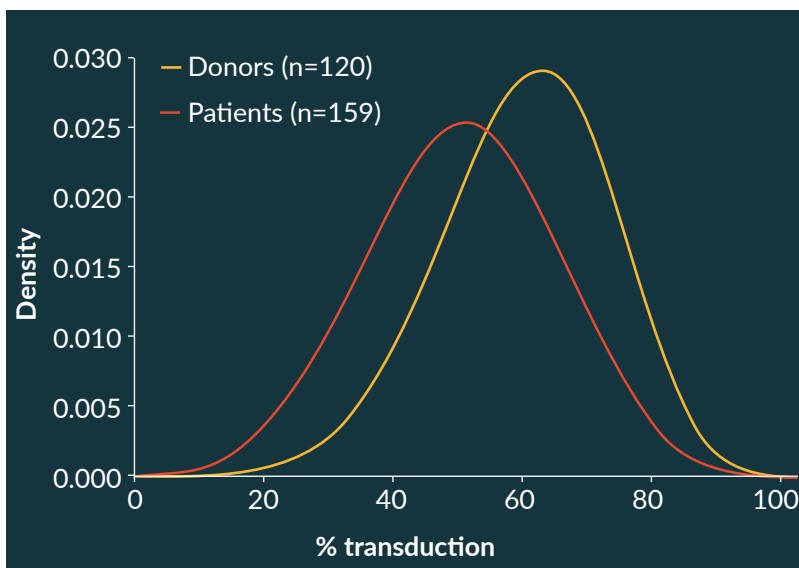
By contrast, the total viable cell concentration during certain process steps proved to have a high impact on an important CQA, namely percent transduction. This is illustrated in Figure 6, where the total viable cells during the transduction step affects subsequent percent transduction. The learning from these characterization studies is that the actual cell concentration during transduction needs to be carefully controlled within acceptable limits to ensure process consistency across many lots.

PROCESS COMPARABILITY

The manufacturing process used to support initial clinical development at the NCI [11] is now referred to as the CLP 1.0 process.

► **FIGURE 4**

Percent transduction of T cells is similar in healthy donors and clinical patients.

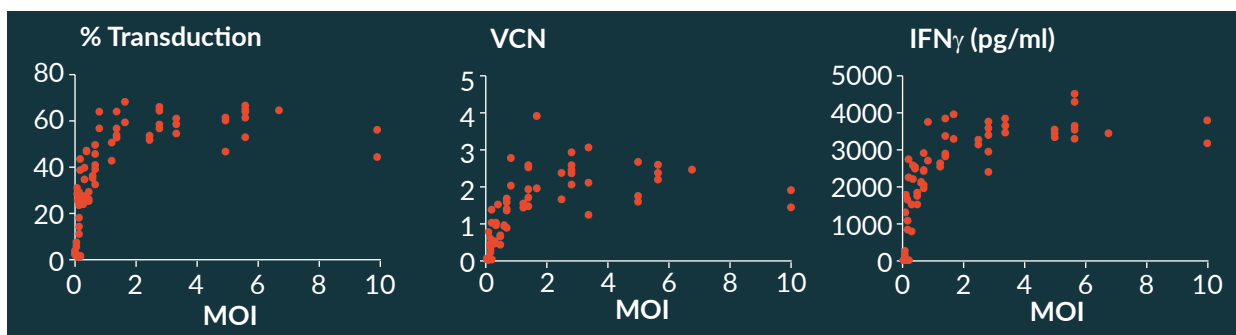


Percent transduction of T cells is similar in healthy donors (mean 60.6%; std dev 14.1) and clinical patients (mean 50.6%; std dev 15.2). Percent transduction in axi-cel lots manufactured from healthy donor or clinical patient apheresis material was measured by flow cytometry. Axi-cel, axicabtagene ciloleucel.

Work conducted collaboratively between Kite and the NCI subsequently showed that it was possible to remove human serum from the manufacturing process stream and that many unit operations could be conducted in closed systems [15,16]. Studies using split starting material demonstrated that product

► **FIGURE 5**

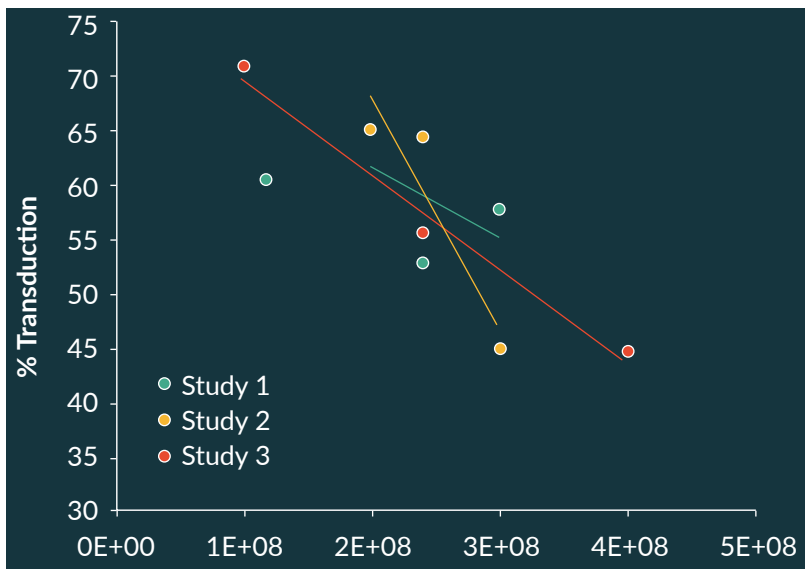
Vector MOI is a noncritical process parameter.



Activated T cells were transduced with retroviral vector from 3 lots (titer range: 8-23 x 10⁶ TU/mL). Three product quality attributes were measured in transduced cells: percent transduction by flow cytometry, VCN by quantitative polymerase chain reaction, and IFN- γ production (pg/mL) after co-cultivation with antigen-positive target cells by enzyme-linked immunoassay. Above a threshold of approximately 1.4, MOI had no impact on any of these quality attributes, illustrating that this is a noncritical process parameter within the range of ~1.4 to 10. IFN- γ , interferon- γ ; MOI, multiplicity of infection; VCN, vector copy number; TU, transducing units.

▶ **FIGURE 6**

TVCs during transduction is a critical process parameter.



T cell transduction was initiated across a range of cell concentrations with activated T cells from three apheresis donors and 2 vector lots (Study 1 and 2 vector titer= 11.7×10^6 TU/mL; Study 3 vector titer 8.3×10^6 TU/mL). The lowest MOI was ~ 4 , well above the threshold of ~ 1.4 illustrated in Figure 5. As the T cell concentration increased, percent transduction decreased. Therefore, T cell concentration is a critical process parameter across the tested range and must be carefully controlled to ensure that a CQA (percent transduction) is not affected. TVCs, total viable cells; TU, transducing units.

manufactured using the CLP 1.0 process and the new process were highly similar. Those process improvements were then incorporated in the NCI clinical development program as process CLP 2.0, and clinical studies with patient lots prepared with the CLP 2.0 process confirmed clinical activity [23]. To support a multicenter clinical trial of axi-cel, this process was then transferred to a contract manufacturing organization (CMO) under Kite's control. The process at the CMO was designated CLP 2.2 to indicate that processing would be conducted with apheresis starting material that was sent to the CMO for central processing and that minor process improvements to increase cellular wash recovery and ensure process integrity were incorporated. With minor differences now in

place, were the 2 processes (CLP 2.0 and CLP 2.2) comparable? Studies were therefore designed to assess whether CQAs were within acceptable limits of variation when the process was conducted at the 2 manufacturing sites. Further complexity was added when Kite developed its own clinical manufacturing facility in Santa Monica, CA, and then subsequently built a commercial facility in El Segundo, CA. Was product produced at each of these sites comparable?

Two approaches have been used to assess comparability and answer this question:

1. Equivalence, performance with split starting material
2. Expectation, performance within prespecified tolerance intervals

In each case, comparability included demonstration that process parameters met expected established ranges. These approaches are not strictly exclusive, and a complete analysis may contain elements of both the equivalence and expectation approaches. Certain objective criteria were identified to assess comparability. To begin, a risk assessment was performed to determine which CQAs should be considered as most likely to be relevant to demonstrate product and process comparability. Percent transduction is used to calculate a patient dose, and process characterization studies proved that this attribute is highly dependent on the starting apheresis material. In this regard, percent transduction was an excellent choice for comparability assessment. Other useful CQAs included product potency to show that the transduced T cells are functional, process-related impurity clearance to assess the process capability, and general

safety tests (sterility, for example) to demonstrate process integrity. Other measures of process performance were also assessed. For example, wash-step recovery, cell viability at selected process steps and at harvest, and fold-expansion of the T cell cultures each provided information to assess overall process comparability.

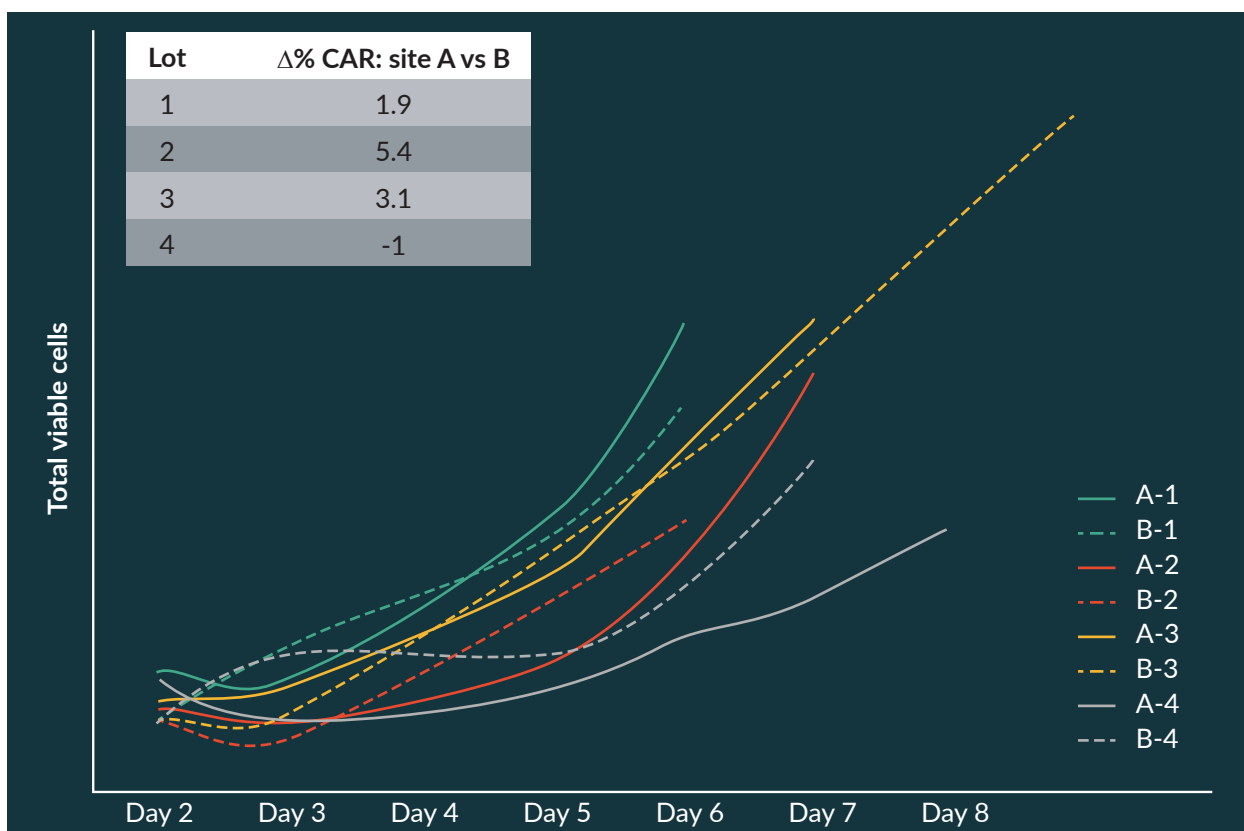
Using split apheresis studies, i.e., the equivalence approach, it was possible to demonstrate process comparability between the CLP 2.0 process that was being executed at the NCI and the CLP 2.2 process at the CMO. Four cellular starting materials were prepared at the NCI, and a portion of the cells were sent

to the CMO. The processes were executed at each site and percent transduction, growth performance, and other process and product characteristics were assessed. Notwithstanding the inherent differences seen across the four starting materials, cell growth for each pair performed quite similarly at the respective site, as shown in **Figure 7**.

Cross-site data for percent transduction were evaluated statistically using the two one-sided test as a measure of comparability. Results demonstrated comparability at a calculated power of 89% to a p-value of 0.0142 at the very stringent percent acceptable difference of 10%.

► **FIGURE 7**

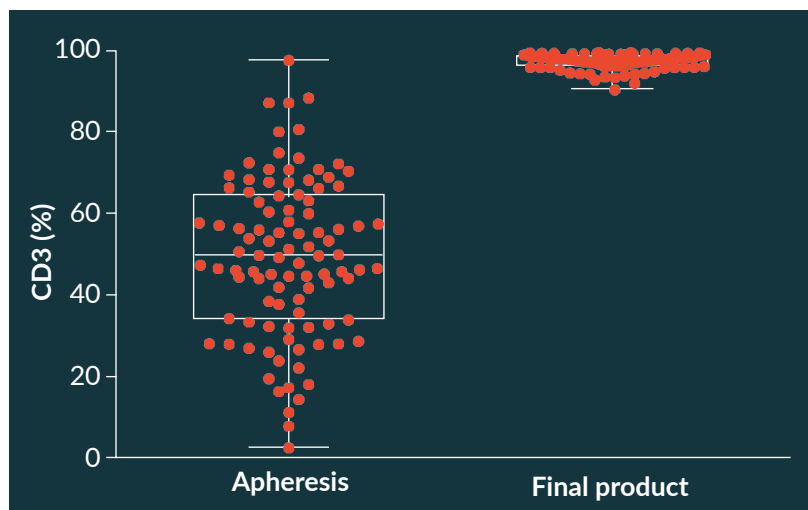
Cross-site split study: equivalence approach.



Growth performance and percent transduction were similar in 4 cross-site split samples. Cellular starting material was collected at a single site, and a portion was sent to a second site. Each site executed the manufacturing process independently. Process performance and final product characteristics were then compared against pre-established comparability acceptance criteria. Note that growth performance for each split pair was similar, but growth performance across pairs varied, illustrating the impact of the individual starting material. Percent transduction varied little from site to site.

► FIGURE 8

Axi-cel was routinely manufactured despite heterogeneity in the apheresis material.



Among 101 clinical trial patients, the percentage of CD3⁺ cells in the apheresis material varied widely. Nonetheless, T cells were highly enriched in the final product (axi-cel). Axi-cel, axicabtagene ciloleucel.

For subsequent site transfers, either the equivalence or expectation approach has proven useful to show that the processes at each site are comparable. This risk-based assessment of comparability, based on a very clear understanding of CQAs from process characterization, provides tremendous value as products move from clinical development toward commercialization.

CLINICAL MANUFACTURING CORRELATES

The axi-cel manufacturing process has proved to be quite robust and tolerant to operating ranges across most process parameters. During execution of the ZUMA-1 clinical trial that evaluated performance in 101 clinical patients, the manufacturing process also proved to be robust across the widely heterogeneous incoming apheresis material. The percentage of T cells in the starting material varied widely [Figure 8].

The median absolute lymphocyte count of the apheresis material was $0.7 \times 10^9/l$ (range, $0.1\text{--}2.9 \times 10^9/l$), where some donors were essentially lymphopenic. Notwithstanding this extreme heterogeneity, the resulting T cell products were highly enriched for CD3⁺ T cells. A clinical dose was achieved for all but 1 patient enrolled in the trial, for an overall manufacturing success rate of >99%. For the 1 patient in whom a dose was not achieved, this failure was attributable to a process equipment breakdown during the final harvest wash unit operation. On average, most axi-cel lots produced sufficient CAR-positive T cells for >2 doses, providing the capability of formulating back-up doses for clinical trial patients.

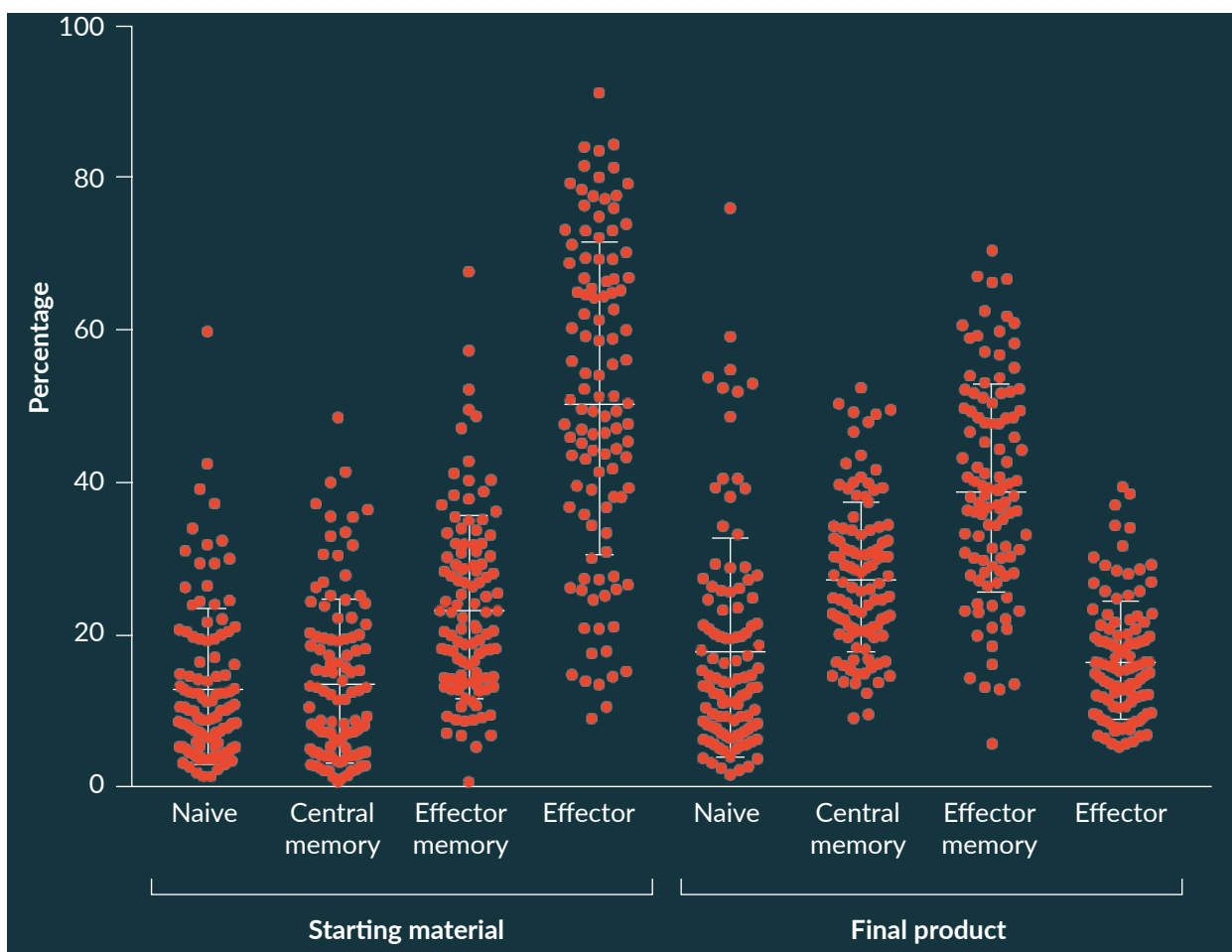
One interesting observation from the manufactured products was that the T cell-immunophenotype of the final product had an apparent more naïve phenotype than did the incoming apheresis starting material. This is illustrated in Figure 9, where T cell phenotype was determined by CCR7 and CD45RA expression using flow cytometry. The number of infused T cells with a naïve phenotype previously have been shown to directly correlate with subsequent CAR T cell levels in the blood of patients [24]. In addition, a correlation was observed between the doubling time of T cells during production of axi-cel during manufacturing and the subsequent CAR T cell peak level in treated patients [Figure 10]. Together these data show that the manufacturing process is capable of delivering active, juvenile cells that can subsequently expand *in vivo*. The correlation between *in vitro* and *in vivo* expansion illustrates the intimate linkage

between manufacturing and clinical performance, and CAR T cell engraftment/expansion correlates with clinical outcome [Figure 11]. Any future process improvements to the manufacturing process that can result in enhanced performance (e.g., shortening the process time to limit T cell differentiation; pre-selection for less differentiated cells prior to manufacturing; or enriching the product for a desired T cell population) may be capable of influencing clinical performance as well.

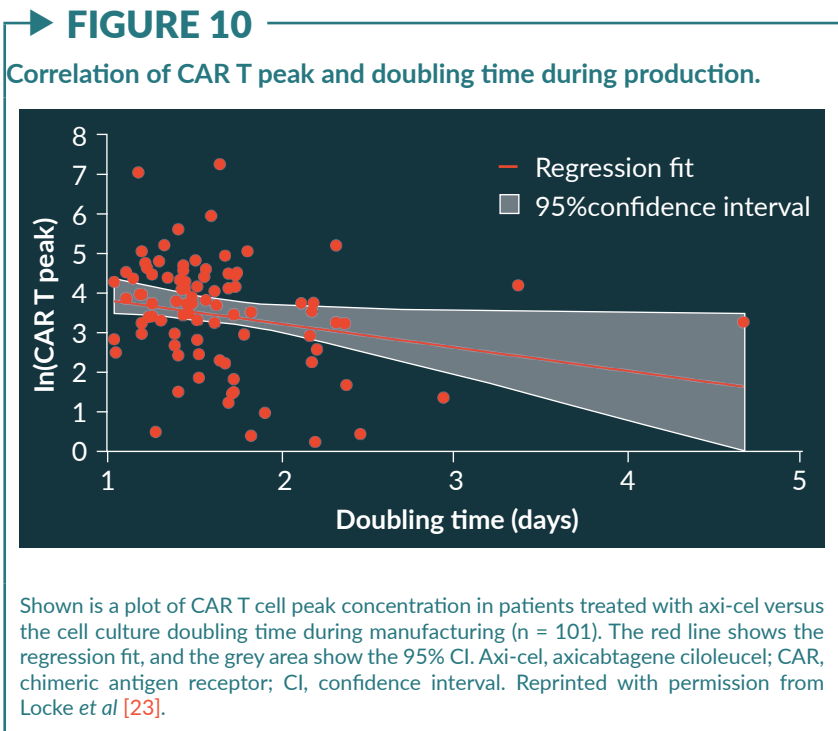
Other interesting observations relating performance during clinical manufacturing and outcomes in patients have been described [24]. Across the spectrum of clinical product lots, the CD4/CD8 ratio in the ZUMA 1 study was highly variable, with a median of 0.9 (range, 0.03–5.8). There was no difference in objective response rate, complete remission, grade >3 neurotoxicity or >3 cytokine release syndrome across the four quartiles. These data support consistent efficacy and safety across the range of CD4/CD8 in the product.

► **FIGURE 9**

T cell immunophenotype in starting material and axicabtegene ciloleucel.



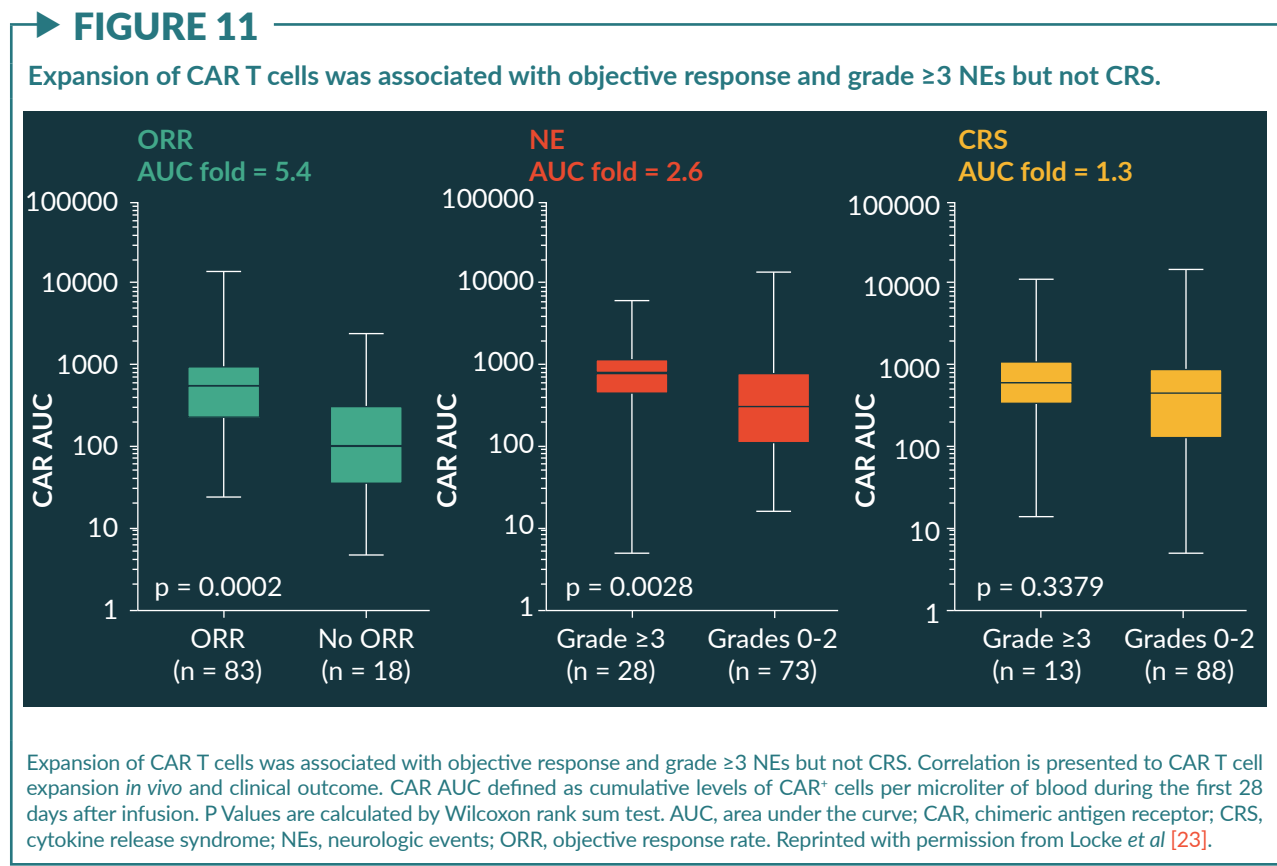
Comparison of starting apheresis and resultant axi-cel T cell immunophenotype. Immunophenotype was measured by flow cytometry with fluorophore-conjugated antibodies to CD45RA, CCR7, and CD3. Percent of cells with a less differentiated phenotype based on CCR7 and CD45RA (Tn+Tcm) was higher in the final product (\bar{x} =45%, std=17%) than in the starting T cell population (\bar{x} =26%, std=19%, $p<0.0001$). Statistical analysis was performed using a matched paired analysis. Axi-cel, axicabtegene ciloleucel.



CONCLUSIONS

Production of CAR T cells for clinical and commercial applications is complex but highly feasible, and

many of the general principles of drug development that have proven successful to deliver well-characterized biologics are applicable for cell therapy products. Most



interestingly, robust manufacturing is feasible even with highly variable starting materials from heavily pre-treated lymphoma patients. During clinical development of axi-cel, a manufacturing success rate of >99% was achieved in a trial with 101 treated patients.

To successfully complete process performance qualification as part of process validation, and to establish an appropriate control strategy for commercial application, process understanding must be well established. During process characterization, it is possible to identify both critical and noncritical process parameters that help define appropriate process controls. With this understanding, and a clear recognition of variability introduced through raw materials and process equipment, tight control over unit operations is achievable. Although individual unit operations may be well controlled and conducted as closed-system operations, the manufacturing process for axi-cel described here does not

rely completely on automated operations. Despite significant improvements, future innovation to remove sources of variability from the process will be extremely valuable. A well-grounded control strategy and established framework for evaluating comparability will ensure that the introduction of any future process improvements can be evaluated objectively for potential impact on process performance.

AUTHOR DISCLOSURE

All authors are employees of Kite, a Gilead Company with equity ownership.

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